Multifaceted Criteria used in Determining Acceptance of Survival Compliance Studies at Federally Operated Dams in the Columbia/Snake River
Objective

Describe the various criteria used to assure:

- Accuracy
- Precision
- Representativeness
- Robustness

of acoustic-tagged juvenile salmonid studies in the FCRPS.
2008 BiOp

- Stipulates survival standards for outmigrating juvenile salmonids

- Dam Passage survival = survival from dam face to tailrace mixing zone (≈1-2 km downstream)

- Triple release of acoustic-tagged smolts used to isolate survival to zone of inference
Virtual/Paired-Release Design

- 3 release groups
- Minimum of 3 detection arrays

\[
\hat{S}_{\text{Dam}} = \frac{\hat{S}_1}{\left(\frac{\hat{S}_2}{\hat{S}_3}\right)} = \frac{\hat{S}_1\hat{S}_3}{\hat{S}_2}
\]
Study Requirements

1. Three fish stocks

Spring migrants: Yearling Chinook salmon
   Steelhead
Summer migrants: Subyearling Chinook salmon

Survival Standard

\[ \geq 96\% \]
\[ \geq 93\% \]

2. Precision

Standard error \( \left( \hat{S}_{\text{Dam}} \right) \leq 1.5\% \)
3. Replication

- 2 years per fish stock
- Successful trials must be consecutive

Minimum testing
- $8 \text{ dams} \times 3 \text{ stocks} \times 2 \text{ reps} = 48 \text{ trials}$

To date
- 29 trials at 6 dams
- $>109,000$ acoustic-tagged fish
Study Requirements

4. Model validity
   A. Tagger effects
      • Balanced effort across releases
      • Comparison of reach survivals and cumulative survivals across taggers
   
   B. No delayed-handling or tag-burden effects
      • Test whether downstream survival affected by release distance upstream
   
   C. Random and blind assignment of fish to release groups
Study Requirements

4. Model Validity (continued)

D. Representative release locations and time

- Alternative Day/Night release times
- Multiple releases across river at each location
  - Test for mortality “hot spots”

Example: Survival by release position of subyearling Chinook salmon released for the Dalles Dam study, 2012
Study Requirements

4. Model Validity (continued)

E. Downstream mixing of release groups

Example: Mixing plot of yearling Chinook salmon, The Dalles Dam, rkm 234, in 2010
Study Requirements

4. Model Validity (continued)

F. Tag-life-corrected survival estimates

Example: Arrival distributions vs. tag-life curve of yearling Chinook salmon, The Dalles Dam, 2010
4. Model Validity (continued)

G. No false-positive detections of dead tagged fish
   - Dead tagged fish releases to assure tailwater array far enough downstream
   - Bias correction if problem
Study Requirements

5. Representative Conditions (continued)

A. Fish source
   • Run-of-river fish from subject dam

B. Fish condition
   • No previously tagged fish (range 2-5%)
   • No moribund fish or fish with progressive infection, open wound to body, or skeletal deformities (range 3-15%)
Study Requirements

5. Representative Conditions

C. Fish size distribution compared across release groups and to fish monitoring program (FPC)
   
   • *Example*: Length distributions at The Dalles Dam, 2012
Yearling Chinook Salmon

- V1 releases
- R2 releases
- R3 releases
- FPC sample from JDA

Subyearling Chinook salmon

- V1 releases
- R2 releases
- R3 releases
- FPC sample from JDA
5. Representative Conditions (continued)

D. Migration timing

- Trials performed during middle of outmigration
- *Example*: Percentage of outmigration covered by compliance studies at The Dalles Dam, 2010-2012

<table>
<thead>
<tr>
<th>Year</th>
<th>Yearling Chinook salmon</th>
<th>Juvenile Steelhead</th>
<th>Subyearling Chinook salmon*</th>
</tr>
</thead>
<tbody>
<tr>
<td>2010</td>
<td>81.0%</td>
<td>76.4%</td>
<td>80.5%</td>
</tr>
<tr>
<td>2011</td>
<td>87.0%</td>
<td>73.1%</td>
<td>N/A</td>
</tr>
<tr>
<td>2012</td>
<td>N/A</td>
<td>N/A</td>
<td>66.6%</td>
</tr>
<tr>
<td>Average</td>
<td>84.5%</td>
<td>74.8%</td>
<td>73.6%</td>
</tr>
</tbody>
</table>

* Restricted to temperatures <20.5°C
Study Requirements

5. Representative Conditions (continued)

E. Fish behavior at dams

- Trial fish within historical range:
  - Spill passage efficiencies
  - Forebay residence times
Study Requirements

5. Representative Conditions (continued)

F. Water years

• Trials must be conducted when project discharges are within the middle 90% of most recent 70 years of average flows

Example: The Dalles spring trials

Example: The Dalles summer trials
Study Requirements

6. Consistency with Future Dam Operations
   • Trial conditions must be within:
     ±5% of targeted spill percentile
     or
     ±5% kcfs of targeted spill volume

7. Hydraulic Diversity
   • Assure trials over a variety of river conditions
     1. Average flows between trials ≥5% different
     2. Hydraulic patterns between trials ≥5% different
Summary

A cursory look at the overall results:

<table>
<thead>
<tr>
<th>Stock</th>
<th>Survival</th>
<th>Target</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Mean</td>
<td>Range</td>
</tr>
<tr>
<td>Yearling Chinook salmon (9)</td>
<td>0.9699</td>
<td>0.9597 – 0.9868</td>
</tr>
<tr>
<td>Steelhead (9)</td>
<td>0.9795</td>
<td>0.9534 – 0.9952</td>
</tr>
<tr>
<td>Subyearling Chinook salmon (11)</td>
<td>0.9466</td>
<td>0.9076 – 0.9789</td>
</tr>
<tr>
<td>Average standard error</td>
<td>0.0098</td>
<td>0.0021 – 0.0212</td>
</tr>
</tbody>
</table>
Summary

• As many as 18 criteria considered in assessing validity, robustness, and representativeness of compliance studies

• 29 trials at 6 dams conducted to date
  – 23 met survival standards
  – 26 met precision requirements
  – 2 to 4 may be voided for excess spill (McNary)
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Questions?